Efficacy of Tocilizumab in Patients With Moderate-to-Severe Corticosteroid-Resistant Graves Orbitopathy: A Randomized Clinical Trial

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• OBJECTIVE: To demonstrate the efficacy of the antiinterleukin-6 receptor monoclonal antibody tocilizumab in patients with moderate-to-severe corticosteroid-resistant Graves orbitopathy (GO).

• DESIGN: Double-masked randomized clinical trial.

• METHODS: <u>Setting and Participants</u>: Thirty-two adults with moderate-to-severe corticosteroid-resistant GO from 10 medical centers in Spain were randomized (1:1). <u>Intervention</u>: Randomization to either 8 mg/kg body weight tocilizumab or placebo administered intravenously at weeks 0, 4, 8, and 12, and follow-up for an additional 28 weeks. <u>Main Outcomes and Measures</u>: The primary outcome was the proportion of patients with a change from baseline to week 16 of at least 2 in the clinical activity score (CAS).

• RESULTS: The primary outcome was met by 93.3% (95% confidence interval [CI] 70.1%-98.8%) of the patients receiving tocilizumab and 58.8% (36%-78.3%) receiving placebo (P = .04; odds ratio, 9.8 [CI 1.3-73.2]). A significant difference was also observed in the proportion of patients achieving a CAS < 3 (86.7% [CI 62.1%-96.2%] vs 35.2% [CI 17.3%-58.7%], P = .005; OR 11.9 [CI 2.1-63.1]) at week 16. Additionally, a larger

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Inquiries to Marco Sales-Sanz, Ophthalmology Service, Hospital Universitario Ramon y Cajal, IRYCIS. Crta. De Colmenar km 9,100. 28034, Madrid, Spain; e-mail: salessanz@yahoo.es proportion of patients with improvement in the European Group on GO-proposed composite ophthalmic score at week 16 (73.3% [CI 48%-89.1%] vs 29.4% [CI 13.2%-53.1%]; P = .03), and exophthalmos size change from baseline to week 16 (-1.5 [-2.0 to 0.5] mm vs 0.0 [-1.0 to 0.5] mm; P = .01) were seen with tocilizumab. One patient experienced a moderate increase in transaminases at week 8; another had an acute pyelonephritis at week 32 in the tocilizumab-treated group.

• CONCLUSION: Tocilizumab offers a meaningful improvement in activity and severity in corticosteroidresistant GO. This trial justifies further studies to characterize the role of tocilizumab in GO. (Am J Ophthalmol 2018;195:181–190. © 2018 Elsevier Inc. All rights reserved.)

G RAVES ORBITOPATHY (GO) IS AN INFLAMMATORY disease of the orbital tissues with an estimated incidence of 16 women or men per 100 000 persons per year in the United States.¹ The incidence of GO is around 20% of the incidence of Graves disease (GD). Approximately 5% of patients with GD have moderate-to-severe disease. In 5% of cases, GO can occur without evident GD. Eyesight can be severely threatened from corneal exposure or compressive optic neuropathy in 3%-7% of cases.^{2–4}

Corticosteroids alone or associated with orbital irradiation^{5–7} are the first-line treatment for patients with moderate-to-severe active GO. Corticosteroids are administered orally, intravenously, or into the soft orbital tissue. GO flare-ups after corticosteroid discontinuation occur in 12% of patients, and a small percentage of patients do not respond adequately to this treatment.⁸ Corticosteroids can also produce severe adverse events or provide an incomplete response followed by relapse or progression of GO. In addition, the ability of corticosteroids to modify the final disease outcome remains unclear.

When this is the case, treatments targeting T and B cells, cytokines, and peroxisome proliferator-activated receptor- γ are recommended, but these treatments have failed to

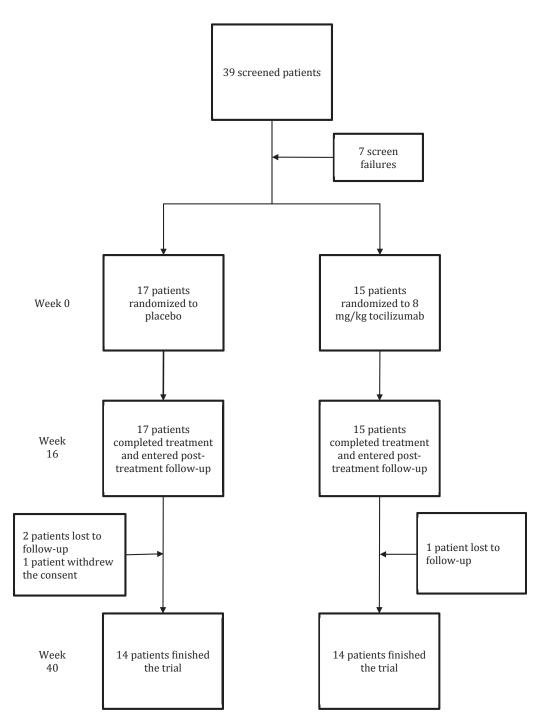


FIGURE 1. Disposition of patients showing randomization, number of patients completing or withdrawing from the trial, and reason for withdrawal in 17 patients with Graves orbitopathy treated with placebo and 15 patients treated with tocilizumab.

demonstrate efficacy^{7,9} or achieve consistent results.^{10,11} Recent European guidelines advise a second course of intravenous corticosteroids, oral corticosteroids combined with orbital radiotherapy, cyclosporine, or rituximab as a second-line treatment¹² in these patients. More recently, teprotunumab—a human monoclonal antibody inhibitor of IGF-IR—has demonstrated efficacy in reducing exophthalmos.¹³

In GO pathogenesis, orbital fibroblasts are activated by autoantibodies against the thyrotropin receptor TSHR and the insulin-like growth factor-1 receptor. The fibroblasts then secrete interleukin-6 (IL-6), macrophage chemoattractant protein-1, and transforming growth factor- $tor-\beta$.^{14–17} These factors recruit T lymphocytes into the orbit, and these cells interact with fibroblasts to produce soluble factors that induce synthesis of hydrophilic

glycosaminoglycans that result in swelling of the orbital tissues and differentiation of fibroblasts into mature adipocytes. IL-6 has relevant effects on cells of the immune system.¹⁸ In orbital preadipocyte fibroblasts, IL-6 increases expression of the thyrotropin receptor TSHR, and the orbital volume is relative to IL-6 mRNA expression.^{16–18} Therefore, IL-6 may have several roles in the pathogenesis of GO.^{14,15,19} IL-6-driven rheumatoid arthritis is successfully treated with the IL-6R monoclonal antibody tocilizumab (TCZ, RoACTEMRA; Roche Pharmaceuticals, Basel, Switzerland).²⁰ In this study, we report an investigator-initiated, multicenter, randomized, and double-blind study to test the efficacy and safety of TCZ to treat patients with GO who were unresponsive to glucocorticoid therapy.

PATIENTS AND METHODS

• TRIAL DESIGN AND OVERSIGHT: This was an investigator-initiated, parallel, randomized, double-blind, and placebo-controlled trial performed at 9 Spanish centers. The patients were randomly assigned in a 1:1 ratio to receive placebo or intravenous TCZ 8 mg/kg on weeks 0, 4, 8, and 12 (Figure 1). Patients were then monitored for 28 weeks so that the total study duration was 40 weeks. The use of methotrexate, cyclosporine, systemic steroids, or other biological therapies was not allowed during the study. At each visit, patients were evaluated in a masked fashion by an ophthalmologist, rheumatologist, and endocrinologist or internist. The protocol was approved by the review boards/ethics committees of each institution; written informed consent was obtained from each patient before studv participation (ClinicalTrials.gov identifier NCT01297699). The study was conducted in full accordance with the principles of the Declaration of Helsinki and with the laws and regulations of Spain. A full description of the protocol appears in Appendix 1 (Supplemental Material available at AJO.com). The first patient was enrolled on March 8, 2012, and the last observation was recorded on October 27, 2015.

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• PATIENTS: All subjects were ≥ 18 years of age and were enrolled regardless of current treatment. They had normal thyroid hormone levels and active GO, defined by the presence of a clinical activity score (CAS) of at least 4 (10point scale) with a severity grade of moderate-to-severe or sight-threatening GO according to European Group on Graves Orbitopathy (EUGOGO) classification (Appendix 2; Supplemental Material available at AJO.com); they were incomplete responders to corticosteroid pulses. The sightthreatening criteria were limited to patients with compressive optic neuropathy resolved by medical or surgical treatment who still required further anti-inflammatory treatment.

The criterion of corticosteroid resistance refers to the following: (1) incomplete response (defined as a CAS improvement < 2) to at least 3 doses of 500 mg of intravenous methylprednisolone; or (2) recurrence of GO, defined as an increase in $CAS \ge 1$ after treatment with corticosteroids pulses. The 10-point CAS was used in this study.²¹ The exclusion criteria included the need for immediate surgery for orbital decompression, thyroidectomy or radioactive iodine treatment, active smoking, chronic or active infection, history of intestinal ulceration or diverticulitis, neutrophil count less than 0.5×10^9 /liter, platelet count less than 50 \times 10³/liter, and aspartate transaminase (AST) or alanine transaminase (ALT) levels exceeding 1.5-fold of the upper normal limit. A full listing of the inclusion and exclusion criteria is included in Appendix 2 (Supplemental Material available at AJO.com). Patients were required to have a normal-appearing chest radiograph less than 3 months before randomization and were screened for latent tuberculosis (TB) using a purified protein derivative skin test before trial treatment. Patients with latent TB were treated according to national recommendations.²²

• RANDOMIZATION AND MASKING: The randomization was performed after informed signed consent was obtained and after confirmation of compliance with the selection criteria. The treatment was started within 4 weeks following randomization. The process was performed centrally by the Bio-statistics Department of the Spanish Consortium to Support Network Biomedical Research with an electronic application of the case report form using SAS software version 9.2 to automatically and randomly assign the treatment groups. The treatment assignments used a pseudo-random process to ensure that both groups were similarly sized. This process allocated the patients enrolled at all centers. Pharmacists at the participant centers prepared tocilizumab in a sterile and pyrogen-free solution of 0.9% sodium chloride as well as a similar placebo solution, but the pharmacists were masked to the participants. Participants, people giving the interventions, those assessing outcomes, and those analyzing the data were masked to group assignment. There were no cases of unmasking throughout the entire masking process.

• TRIAL OUTCOMES: The primary outcome was the proportion of patients with improvements in CAS by at least 2 at week 16. The secondary outcomes included the proportion of patients showing improvement in CAS by at least 2 at week 40, and the proportion of patients showing a CAS less than 3 at weeks 16 and 40. We also studied changes in

| TABLE 1. Baseline Characteristics of Patients With Graves |
|--|
| Orbitopathy Treated With Placebo and With Tocilizumab |

| | Placebo (N = 17) | Tocilizumab (N = 15) |
|--|-------------------|-------------------------------|
| Age (years), median (IQR) | 47.5 (41.1-57.4) | 45.07 (38.9-50.5) |
| Women, n (%) | 13 (76.5) | 11 (73.3) |
| Duration of GD in years, median (IQR) | 1.45 (0.35-3.9) | 2.24 (0.69-10.3) |
| Duration of GO in years, median (IQR) | 1.07 (0.49-2.9) | 1.09 (0.69-4.0) |
| CAS, median (IQR) | 5.00 (4.0-6.0) | 5.00 (5.0-7.0) |
| GOQoL, median (IQR) | | |
| Function | 25.0 (16.7-44.0) | 72.2 (38.9-83.3) ^a |
| Appearance | 45.0 (30.0-65.0) | 50.0 (45.0-55.0) |
| SF-36, median (IQR) | 59.8 (35.94-77.1) | 63.9 (49.4-76.1) |
| Mental function | 62.4 (33.1-80.5) | 69.1 (44.6-82.5) |
| Physical function | 50.0 (34.0-72.0) | 71.0 (50.0-78.0) |
| ESR (mm/h), median (IQR) | 16.0 (10.0-24.0) | 12.0 (7.0-21.0) |
| CRP (mg/dL), median (IQR) | 0.70 (0.26-1.6) | 0.42 (0.10-1.0) |
| TSH (mIU/L), median (IQR) | 0.80 (0.50-2.8) | 0.15 (0.02-2.8) |
| TSI (IU/L), median (IQR) | 7.5 (1.1-31.3) | 24.4 (8.2-35.5) |
| Anti-TPO (IU/mL), | 36.5 (18.41-183) | 41.2 (28.0-200.0) |
| median (IQR) | | |
| Anti-TG (IU/mL), | 20.0 (8.3-100) | 21.5 (15.0-456.8) |
| median (IQR) | | |
| Replacement therapy, n (%) | 8 (47) | 11 (73) |
| Anti-thyroid treatment, n (%) | 5 (29) | 4 (27) |
| Prior thyroidectomy, n (%) | 3 (18) | 2 (13) |

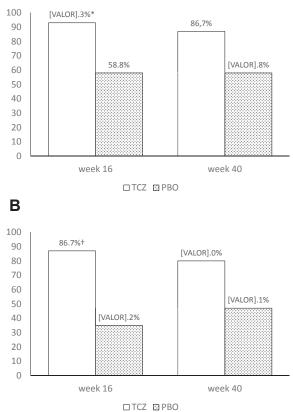
Anti-TG = anti-thyroglobulin antibodies; Anti-TPO = antithyroid peroxidase antibodies; CAS = clinical activity score; CRP = C-reactive protein; ESR = erythrocyte sedimentation rate; GD = Graves disease; GO = Graves orbitopathy; GOQoL: Graves orbitopathy quality of life; IQR = interquartile range; TSH = thyroid-stimulating hormone; TSI = thyroid-stimulating immunoglobulins.

 $^{a}P = .003.$

the patient global assessment (PtGA) of pain, in the levels of thyroglobulin antibodies (anti-TG), thyroid peroxidase antibodies (anti-TPO), TSI, and TSH; disease severity assessed by EUGOGO²¹ and quality of life evaluated by the generic 36-Item Short Form Health Survey (SF-36) and the disease-specific GO quality of life (GOQoL) were secondary outcomes.²³ Post hoc analysis studied the proportion of patients with improvements in the EUGOGOproposed composite ophthalmic score (Appendix 2; Supplemental Material available at AJO.com) and the extent of improvements in exophthalmos. Patients were monitored for adverse events (AEs), serious AEs, infections, withdrawals owing to AEs, death, and clinically significant changes in vital signs and laboratory tests.

• **STATISTICS:** A sample size of 32 patients was determined to provide at least 90% power to test the null hypothesis. The intention-to-treat population included all randomized patients who received at least 1 infusion of the study treatment.

Α



PBO: placebo; TCZ: tocilizumab; *P=.04; *P=.005

FIGURE 2. (Top) Percentage of patients with clinical activity score (CAS) improvement of at least 2 in 17 patients with Graves orbitopathy treated with placebo (PBO) and 15 patients treated with tocilizumab (TCZ) at weeks 16 and 40. (Bottom) Percentage of patients with CAS < 3 at weeks 16 and 40.

The Fisher test analyzed the categorical variables, and nonparametric tests were used to compare changes from baseline in levels of anti-TPO, anti-TG, TSH, TSI, SF-36, and GOQoL. The odds ratio (OR) estimation was used to measure the effect size. The last observation was carried forward when a data point was missing. Patients discontinuing the study were imputed as nonresponders. A 2-sided P < .05 was considered the limit for statistical significance. Stata version 14.0 (Stata/MC 14.0 for Windows; StataCorp LP, College Station, Texas, USA) was used for all statistical analyses. The entire statistics plan is included in Appendix 1. Results are reported according to the "CONSORT 2010 Statement: Updated guidelines for reporting parallel group randomized trials."

RESULTS

• BASELINE CHARACTERISTICS AND DISPOSITION OF PA-TIENTS: Of the 39 patients screened, 32 were randomly allocated within the study. Patient disposition and randomization **TABLE 2.** Secondary Outcomes at Weeks 16 and 40 in 17 Patients With Graves Orbitopathy Treated With Placebo and 15 Patients

 Treated With Tocilizumab

| | We | ek 16 | Week 40 | | |
|---|-------------------|---------------------------------|---------------------|-------------------------------|--|
| Outcome | Placebo | Tocilizumab | Placebo | Tocilizumab | |
| Anti-TG levels, median improvement (IQR), IU/mL | 0.0 (-3.2, 0.0) | -5.1 (-29.8, 0.0) | 0.0 (-14.2,0.0) | -2.5 (-4.7, 0.0) | |
| PtGA, median improvement (IQR) | -0.0 (-1.9, 0.2) | -1.2 (-2.5, 0.0) | -0.0 (-2.2, 0.0) | -1.2 (-2.6, 0.0) | |
| SF-36, median improvement (IQR) | 0.0 (-8.0, 2.2) | 2.3 (-4.7, 16.2) ^a | 0.0 (-6.7, 1.0) | 0.1 (0.0, 15.3) | |
| Mental function | -2.0 (-29.2, 8.7) | 7.5 (-9.3, 21.6) | -9.3 (-27.3, 3.6) | 9.6 (-8.1, 32.9) | |
| Physical function | -3.0 (-23.5, 4.0) | 2.0 (-2.5, 16.5) | -10.5 (-34.0, 12.5) | 3.0 (-19.0, 19.5) | |
| GOQoL, % patients (CI) with ≥ 8 improvement | | | | | |
| Functioning | 35.2 (17.3-58.7) | 46.7 (24.8-69.9) | 35 (17.3-58.7) | 46.7 (24.8-69.9) | |
| Appearance | 17.6 (6.1-41.0) | 40.0 (19.9-64.2) | 29.4 (13.2-53.1) | 33.3 (15.1-58.2) | |
| EUGOGO composite score improvement, % of patients (CI) | 29.4 (13.3-53.1) | 73.3 (48.0-89.1) ^a | 17.6 (6.2-41.0) | 66.7 (41.7-84.8) ^b | |
| Exophthalmos -Hertel-, median mm (IQR) | 23.0 (19.5-24) | 20.5 (18-22) | 23.2 (19, 24) | 20.7 (18.5, 22) | |
| Δ Exophthalmos from week 0, median mm (IQR) | 0.0 (-1.0 to 0.5) | −1.5 (−2.0 to 0.5) [°] | 0.0 (-0.5, 1.0) | -1.5 (-2.0 to 0.5) | |

Anti-TG = anti-thyroglobulin antibodies; Anti-TPO = anti-thyroid peroxidase antibodies; CI = 95% confidence interval; EUGOGO = European Group on Graves Orbitopathy; IQR = interquartile range; PtGA = patient global assessment of pain.

 $^{a}P = .03.$

 ${}^{b}P = .01.$

 $^{c}P = .003.$

are shown in Figure 1. One patient in the treatment group had prior orbital decompressive surgery. Baseline demographics and laboratory parameters of both groups were similar (Table 1 and Supplemental Table S1; Supplemental Material available at AJO.com). The mean duration of GO showed no differences between placebo and TCZ groups, with a mean duration of 1 year and a range from 5 months to 5 years.

However, baseline patient GOQoL was significantly different. Higher numbers in GOQoL translate to greater perceived disability. The TCZ group seemed to be more affected by their disease than the placebo group. During the 40-week trial, 4 patients withdrew from the study after week 16 for reasons other than AEs and/or possible drugrelated toxicity. All 32 randomized patients were included in the safety population.

• EFFICACY ASSESSMENT: At week 16, the primary outcome of an improvement in CAS by at least 2 (Figure 2, Top) was achieved by 14 of 15 patients receiving TCZ compared with 10 of 17 patients receiving placebo (93.3% [95% confidence interval (CI) 70.1%-98.8%] vs 58.8% [36%-78.3%], P = .04; OR, 9.8 [CI 1.3-73.2]; Figure 2, Top). A CAS < 3 was achieved by a larger proportion of patients in the TCZ group than in the placebo group (86.7% [CI 62.1%-96.2%] vs 35.2% [CI 17.3%-58.7%], P = .005; OR 11.9 [CI 2.1-63.1]; Figure 2, Bottom). A significant improvement was observed in the disease severity

assessed by EUGOGO in 10 of 15 patients in the TCZ group compared with 4 of 17 patients in the placebo group (66.7% [CI 41.7%-84.8%] vs 23.5% [CI 9.6%-47.2%], P = .03).

At week 40, a change in CAS of at least 2 was achieved by 13 of 15 patients receiving TCZ compared with 10 of 17 patients receiving placebo (86.7% [CI 62.1%-96.2%] vs 58.9% [CI 36.0%-78.3%]; P > 05; Figure 2, Top). A CAS < 3 was achieved by 12 of 15 patients in the TCZ group compared with 8 of 17 in the placebo group (80.0% [CI 54.8%-92.9%] vs 47.1% [CI 26.1%-69.0%]; P > .05; Figure 2, Bottom). The individual analysis of the CAS components shows a larger proportion of patients remaining stable or improving with tocilizumab than placebo in all components of the CAS score except for exophthalmos (Table 2). The difference was significant for the improvement in hyperemia and chemosis at 16 weeks (Table 2).

Lessened disease severity as assessed by EUGOGO were observed in 9 of 15 patients in the TCZ group compared with 4 of 17 patients in the placebo group (60% vs 24%; P = .03). In a post hoc analysis, there was a significantly larger proportion of patients with improvement in the EUGOGO-proposed composite ophthalmic score (Table 3) at week 16 (73.3% [CI 48%-89.1%] vs 29.4% [CI 13.2%-53.1%]; P = .03) and week 40 (66.7% vs 17.7% [CI 6.2%-41.0%], P = .01). The analysis of the

TABLE 3. Percentage (Confidence Interval) of Patients With No Worsening in the Components of the Clinical Activity Score at Week 16 and Week 40 in 17 Patients With Graves Orbitopathy Treated With Placebo and 15 Patients Treated With Tocilizumab

| | Week 16 | | Wee | ek 40 |
|------------------------|------------------|-----------------------------|------------------|--------------------|
| | Placebo % (Cl) | Tocilizumab % (Cl) | Placebo % (Cl) | Tocilizumab % (Cl) |
| Retro-ocular pain | 64.7 (41.3-82.7) | 86.7 (62.1-96.2) | 64.7 (41.3-82.7) | 80.0 (54.8-92.9) |
| Pain extreme positions | 52.9 (30.9-73.8) | 60.0 (35.7-80.1) | 52.9 (30.9-73.8) | 80.0 (54.8-92.9) |
| Eyelid erythema | 70.5 (46.9-86.7) | 93.3 (70.1-98.8) | 64.7 (41.3-82.7) | 86.6 (62.1-96.2) |
| Hyperemia | 41.1 (21.6-64.0) | 80 (54.8-92.9) ^a | 64.7 (41.3-82.7) | 80.0 (54.8-92.9 |
| Edema | 29.4 (13.2-53.1) | 53.3 (30.1-75.1) | 41.1 (21.6-64.0) | 60.0 (35.7-80.1 |
| Chemosis | 35.3 (17.3-58.7) | 80 (54.8-92.9) ^b | 47.0 (26.1-69.0) | 60.0 (35.7-80.1 |
| Caruncular swelling | 64.7 (41.3-82.7) | 86.6 (62.1-96.2) | 53.3 (30.1-75.1) | 80.0 (54.8-92.9 |
| Exophthalmos | 82.3 (60.0-94.0) | 93.3 (70.1-98.8) | 82.3 (60.0-94.0) | 86.6 (62.1-96.2 |
| Motility | 64.7 (41.3-82.7) | 93.3 (70.1-98.8) | 76.4 (52-7-90.4) | 86.6 (62.1-96.2 |
| Visual acuity | 76.4 (52.7-90.4) | 93.3 (70.1-98.8) | 70.6 (46.9-86.7) | 93.3 (70.1-98.8 |

CI = confidence interval.

 $^{a}P = .03.$

 ${}^{b}P = .01.$

TABLE 4. Improvement in Relevant Components of the European Group on Graves Orbitopathy Composite Score at Weeks 16 and 40 in 17 Patients With Graves Orbitopathy Treated With Placebo and 15 Patients Treated With Tocilizumab

| Number of Patients | Placebo | | Tocilizumab | |
|--|---------|---------|-------------|---------|
| | Week 16 | Week 40 | Week 16 | Week 40 |
| Improvement in eyelid aperture by at least 3 mm, n | 2 | 2 | 7 | 5 |
| Improvement in signs of soft tissue involvement by at least 2 grades, n | 10 | 12 | 14 | 13 |
| Improvement in Bahn/Gorman diplopia score or at least 8 grades, n | 0 | 0 | 1 | 1 |
| Improvement in proptosis by at least 2 mm, n | 8 | 4 | 14 | 6 |
| Improvement in CAS by at least 2 points, n | 10 | 10 | 14 | 13 |

components in the EUGOGO composite score demonstrated a change in the score both at 16 and at 40 weeks. This change pertains mainly to an improvement in signs of soft tissue involvement by at least 2 grades as well as improvements in CAS by at least 2 points (Table 3 and Supplemental Tables S2 and S3; Supplemental Material available at AJO.com). Also, there was a significant median diminution in the exophthalmos from baseline to week 16 in the TCZ group (21 mm [interquartile range (IQR), 19.5-23 mm]) compared with the placebo group (20.5 mm [IQR 18-22 mm]; P = .01) (Supplemental Table S4; Supplemental Material available at AJO.com). However, at week 40, the improvement was insignificant (21 mm [IQR 19.5-23 mm] vs 20.7 mm [IQR 18.5-22 mm]; P = .04). The median change was -1.5 mm (IQR -2.0 to 0.5 mm) at week 16 and at week 40.

The GOQoL and SF-36 significantly improved at week 16 in patients receiving TCZ compared with placebo. Forty-seven percent of patients in the TCZ group and 35% in the placebo group experienced an improvement of at least 8 points, which is considered clinically meaningful.¹⁸ Nonsignificant numeric increments in the physical and mental domains of the SF-36 were seen at weeks 16 and 40 in TCZ-treated patients. Nonsignificant differences in the decrements were seen in the placebo group.

No significant changes were observed in levels of anti-TG, TSI, or TSH at weeks 16 and 40 compared with baseline in placebo and TCZ groups. A small but significant improvement was observed in the anti-TPO levels (Table 4) in the TCZ group vs placebo at week 16 (P =.003) and at week 40 (P = .04). TABLE 5. Adverse Events and Serious Adverse Events at Weeks 16 and 40 in 17 Patients With Graves Orbitopathy Treated With Placebo and 15 Patients Treated With Tocilizumab

| T | Placebo | T = = 10 = | | |
|-------------------------------|---------|-------------------|---------|-----------------|
| T | | rocilizumab | Placebo | Tocilizumat |
| Total AEs, n | 19 | 43 | 33 | 58 |
| Infections | 5 | 12 | 7 | 17 |
| Respiratory tract | 2 | 6 | 1 | 3 |
| Gastroenteritis | 1 | 2 | 1 | 3 |
| Urinary tract infections | 0 | 2 | 0 | 2 |
| Headache | 2 | 9 | 4 | 11 |
| Anemia | 3 | 0 | 3 | 0 |
| Ocular symptoms (pain) | 0 | 0 | 3 | 2 |
| Hypercholesterolemia | 0 | 2 | 1 | 3 |
| Neutropenia (grade I) | 0 | 1 | 0 | 1 |
| Thrombocytopenia (grade I) | 0 | 1 | 0 | 1 |
| Patients with >1 AEs, n | 4 | 9 ^a | 7 | 12 ^a |
| Total SAEs, n | 0 | 2 | 0 | 2 |

Three patients in the placebo group were treated with corticosteroids after week 16. One received 5 mg prednisone twice daily for 4 days starting at week 20 because of unrelenting active GO. Another received 60 mg methylprednisolone at week 24 to treat urticaria. The third was administered 3 doses of 500 mg methylprednisolone weekly for 6 weeks starting at week 28 as a result of active GO. These 3 patients were considered nonresponders at week 40. Nevertheless, the treatment of these patients did not affect the primary outcome because CAS did not improve. Nevertheless, this could have affected the estimation of the differences in secondary outcomes. Several patients did not complete the visual analog scale for pain. In addition, the reassessments reported by the other patients were unreliable Thus, changes in visual analog scale for pain from baseline is not reported.

• SAFETY: A total of 93 AEs were reported across 27 patients (Table 5); 23 patients experienced more than 1 AE. Before randomization, 1 patient withdrew from the study because of elevated transaminases possibly related to the treatment of latent TB. Another had mildly elevated levels of transaminases-the subject was diagnosed as having autoimmune hepatitis and was treated with azathioprine. The patient was randomized after normalization of transaminase levels. No patient withdrew from the study because of AEs after randomization. No tumors, active TB, opportunistic infections, or serious infusion reactions were noted during the study period. Lipid levels remained stable during the trial (Supplemental Table S5; Supplemental Material available at AJO.com). Serious AEs were observed in 2 patients in the TCZ-treated group.

One had a moderate increase in transaminase levels at week 8. This patient had been diagnosed as having latent TB and was treated with hydrazides. Transaminase levels were normalized after discontinuing hydrazides. Another patient had acute pyelonephritis at week 30.

DISCUSSION

THIS TRIAL STUDIED PATIENTS WITH MODERATE-TO-SEVERE GO resistant to corticosteroid therapy. The primary outcome was an improvement in CAS of at least 2 at week 16. This was achieved in 14 of the 15 patients in the treatment group.

Current guidelines recommend intravenous administration of 500 mg methylprednisolone weekly for 6 weeks followed by 250 mg weekly for another 6 weeks¹² for moderate-to-severe active GO. GO flare-ups after corticosteroid discontinuation occur in 12% of patients, and a small percentage of patients do not respond adequately to this treatment.²⁴ In addition, corticosteroids produce severe adverse events or provide an incomplete response followed by relapse or progression of GO. A review of intravenous methylprednisolone in 1045 patients showed morbidity in 6.5% and mortality in 0.6% of them.²⁴ Also, a randomized controlled trial comparing rituximab to intravenous methylprednisolone in active moderate-to-severe GO reported significant effectiveness and diseasemodifying effects.⁹ In contrast, another randomized trial comparing rituximab to placebo showed no difference in the improvement of disease activity.^{10,25} Treatment with other targeted therapies have been unsuccessful.¹⁰

Teprotunumab was previously studied in a multicenter, double-masked, randomized, placebo-controlled trial. There, it achieved significant improvement in CAS and proptosis in 69% of treated patients compared with 20% of the placebo group.¹³ The main difference with our study is that our patients were corticosteroid-resistant; therefore, the results are not comparable. Patients treated with teprotunumab showed a reduction in exophthalmos at week 40; the patients treated with tocilizumab in our study had no such reduction. The different therapeutic effects of the drugs and/or the different population of patients could explain the difference in the reduction of proptosis—teprotumumab was not tested in CS-resistant patients.

This study evaluated alternatives for treating this population of patients. The CAS is a validated scoring system to distinguish inflammatory from noninflammatory GO. The system is widely used in clinical trials²⁶ because it has high predictive value for the outcome of immunosuppressive treatment. Nevertheless, there are patients with high CAS with inactive congestive disease, and this is a limitation of the CAS in clinical trials. To minimize this limitation, patients included here must have active GO and a high CAS. No single feature can distinguish activity from

congestion. Nevertheless, congestive features are typically manifested by involvement of orbital muscles. In our study, the proportion of patients achieving a state of inactive disease (CAS <3) is one piece of evidence supporting the efficacy of TCZ—congestive signs usually do not abate promptly. At week 16, 86.7% of patients treated with TCZ and 35.3% treated with placebo (P < .05) have a CAS < 3. This implies that the significant improvements seen were not part of the natural history of GO.^{27,28}

Further support for efficacy comes from the significant number of patients in the TCZ-treated group with a large effect size. Nevertheless, it has been suggested that the use of CAS might not be an ideal outcome for an intervention trial given the lack of significance for the patient's long-term outcome and QOL. On the contrary, a combined outcome proposed by EUGOGO might be better from a clinical perspective.²⁵ Thus, we post hoc reanalyzed the results using this outcome as recently reported in trials with various doses of intravenous glucocorticoids⁸ and rituximab.²⁵ The analysis demonstrates that a significantly larger proportion of tocilizumab-treated patients achieved improvement in the clinically relevant composite ophthalmic score vs placebo at weeks 16 and 40. Although there was significant improvement in exophthalmos, the improvement was not clinically meaningful. Thus, the main effect of tocilizumab seems to be improvements in soft tissue and CAS and, to a lesser extent, the improvement in eyelid aperture. Indeed, no significant benefit was observed in exophthalmos and diplopia at 40 weeks.

The 36-Item Short Form Health Survey (SF-36) is a generic, self-reporting, and easily administered quality-of-life measure. It is widely used for routine monitoring and assessment of care outcomes in adult patients. The consequences of changes in visual functioning and appearance in patients with GO over time are measured using the GOQoL. The QoL questionnaire includes 2 subscales: 1 for visual function and 1 for appearance. It is a reliable tool in clinical studies.²³

Several studies have shown a weak correlation between GOQoL and scores of the disease activity or severity.^{23,29,30} There was significant difference in baseline GOQoL between the placebo and TCZ groups. However, GOQoL was not incorporated in the inclusion criteria. Thus, uneven distribution of GOQoL after randomization was a possibility because of the low number of patients included in the trial. Moreover, objective measures of eye pathology do not correlate well with subjective symptoms.

In the TCZ-treated group, the median function score of 72.2 at baseline makes improvements (increases) more difficult than in the placebo group, which had a median function score of 25.0. Nevertheless, 47% of patients in the TCZ group and 35% in the placebo group experienced such an improvement, that is, a change of at least 8 points.²⁹ A similar trend was seen in the appearance subscale. The effect size of the significant SF-36 improvement was small. The CAS decrease of 2 points at 16 weeks is also

clinically small and may account in part for the small improvement in QoL. Whether longer-term use of TCZ would have achieved better outcomes remains unknown.

Previously, a randomized clinical trial of rheumatoid arthritis demonstrated noninferiority of 162 mg weekly of TCZ subcutaneous compared to 8 mg/kg every 4 weeks of intravenous TCZ.³¹ We suggest that the efficacy of subcutaneous TCZ in GO is similar to intravenous TCZ.

There were 672 eye measurements performed from baseline to week 16; of these, 41 data points were missing (6.8%). The effect of this small number on the precision estimates is negligible. The 3 patients treated with corticosteroids from week 16 to week 40 were considered treatment failures for all purposes. This could have affected the estimation of the differences in secondary outcomes. In rheumatoid arthritis patients treated with TCZ, there was a small increase in the overall rate of serious infection. A single case of serious infection as pyelonephritis in our study is not surprising.

Our study does have some limitations. The number of patients with corticosteroid-resistant GO in the population is small. This led to a long recruitment period. Although the sample size was small, it did allow us to demonstrate a significant difference in efficacy vs placebo. At baseline, the median exophthalmometry was 23 mm. It is conceivable that the population captured in our trial was not as affected as those typically associated with corticoid resistance. Also, the duration of active therapy was short and may have been insufficient. In rheumatoid arthritis treated with TCZ, significant responses are usually seen at 12 weeks; better responses are seen with sustained therapy.²⁰ Some patients could have entered the stable phase or could have been experiencing congestion and inflammation at trial initiation because there was no limit in disease duration in our inclusion criteria.

Nevertheless, we believe that the orbitopathy was in an active phase because the placebo group showed a high rate of improvement, and congestive signs usually do not improve without orbital decompression. The ophthalmopathy could also have resolved because some GO had more than 1 year of evolution. This might account for the otherwise unexpectedly high rate of improvement in CAS in the placebo group. In this study, the primary outcome was evaluated at week 16 when significant efficacy was seen in other chronic inflammatory conditions. The long-term impact on the need for decompressive surgery remains unknown. Active smokers were excluded from the study, and this selection bias might limit the study's external validity. However, the impact of smoking on the efficacy of tocilizumab in rheumatoid arthritis is disputed.³² Patients treated with radioactive iodine (RAI) or thyroidectomy were also excluded to avoid the possible impact of these interventions on the outcome. Patients with active compressive optic neuropathy were excluded because randomization to placebo was not considered appropriate. Thus, some of the most active patients who might benefit from anti-IL-6 therapy were excluded from consideration. Therefore, external applicability of the results is limited. Further studies including specific groups of patients are still needed. Strengths of our randomized controlled trial include the use of objective response measures, patient-reported outcomes (GOQoL and SF-36), and safety evaluation by expert ophthalmologists, endocrinologists, internists, and rheumatologists. Overall, given the biphasic nature of GO, TCZ is an attractive candidate for induction of remission early in this eye disease.

In conclusion, while our study has limitations, there was a significant difference between the placebo and the TCZ-treated group in the primary outcome. Furthermore, all secondary outcomes did numerically or statistically better with TCZ than with placebo. This consistency suggests a true effect that requires further study.

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